

10/590521

IAP12 Rec'd PCT/PTO 24 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Satoshi TAKANO : Mail Stop: PCT
Serial No. NEW : Attorney Docket No. 2006_1415A
Filed August 24, 2006 :

SUBSTRATE PROCESSING APPARATUS
[Corresponding to PCT/JP2005/009107
Filed May 12, 2005]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application PCT/_____;
and/or
- each reference previously cited in prior parent application Serial No.
_____.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

ATTACHMENT "D"

and thus no certification and/or fee is required.

- 1b. This Information Disclosure Statement is submitted after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
 - (1) the certification of paragraph 2 below is provided, **or**
 - (2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. This Information Disclosure Statement is submitted: after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified
 - a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 - b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

10/590521

IAF12 Rec'd PCT/PTO 24 AUG 2006

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a full or partial English language translation submitted herewith,
 - a foreign patent office search report (in the English language) submitted herewith,
 - the concise explanation contained in the specification of the present application at page,
 - the concise explanation set forth in the attached English language abstract,
 - the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. Patent Application Publication No. 2004/0005149 correspond to JP 2004-15021 (reference AJ).

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Satoshi TAKANO

By



Michael S. Huppert
Registration No. 40,268
Attorney for Applicant

MSH/kjf
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
August 24, 2006

FORM PTO 1449 (modified)		ATTY DOCKET NO. 2006_1415A	SERIAL NO NEW 10/590521
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT Satoshi TAKANO	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE August 24, 2006	GROUP
Date Submitted to PTO: August 24, 2006			

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0005149	1/2004	Sugimoto et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	2004-15021	1/2004	JP			Abstract
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER

DATE CONSIDERED